

Galvanizing Advances in Market-aligned fusion for an Overabundance of Watts (GAMOW)

Export Control Considerations

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What is an Export?

- An actual shipment or transmission of commodities or technology out of the United States
- The sending or taking of an item out of the United States, in any manner
- Releasing or otherwise transferring technology to a foreign person in the United States
- Teaching a foreign person how to use a controlled commodity within the United States

15 CFR 734.13

Relevant hyperlink: <u>15 CFR §734 Scope Of The Export Administration</u> Regulations - Code of Federal Regulations (ecfr.io)



A foreign person is someone who is not:

- A citizen of the United States
- A permanent resident alien of the United States (a "green card" holder)
- A protected refugee
- Includes corporations, associations, etc. not incorporated in the United States, and foreign government entities

Relevant hyperlink: <u>15 CFR § 772.1 Definitions of terms as used in the</u> Export Administration Regulations (EAR) - Code of Federal Regulations (ecfr.io)



Fusion Energy Export Controls

- Current regulations apply no export controls to the fusion reactor itself
- National Nuclear Security Administration (NNSA) regulations recognize that there may be export controls on supporting systems [10 CFR 810.2(c)(4)]
- Hydrogen isotope separation systems associated with fusion reactors are specifically identified [10 CFR 810.2(b)(7)]

Relevant hyperlink: <u>10 CFR §810 Assistance To Foreign Atomic Energy</u> <u>Activities - Code of Federal Regulations (ecfr.io)</u>



Tritium Export Controls – Equipment and Facilities

- Equipment and facilities export controls are derived from the Nuclear Suppliers Group Dual Use Technologies list (international obligation)
- Controlled under Department of Commerce regulations [15 CFR 774, Supplement 1]
- Plant and equipment for the production, recovery, extraction, concentration, or handling of tritium are controlled [ECCN 1B231]
- Includes metal hydride storage and purification, isotope separation, and cryogenic equipment
- Tritium equipment and facilities technologies are also controlled [ECCN 1E001]

Relevant hyperlink:

https://www.bis.doc.gov/index.php/documents/regulations-docs/2332category-1-materials-chemicals-microorganisms-and-toxins-4/file



Tritium Export Controls

- Tritium, and compounds or mixtures containing tritium are controlled for export by the Nuclear Regulatory Commission (NRC) [10 CFR 110.23]
- A specific authorization is needed to export tritium for recovery or recycle purposes
- For tritium contained in sources, signs, luminescent dials, etc., a general license is available for limited quantities

Relevant hyperlink: § 110.23 General License For The Export Of Byproduct Material. | NRC.gov



Other Export Controlled Commodities

- Lithium enriched in ⁶Li [15 CFR 774, Supp. 1, ECCN 1C233]
- Lithium enrichment equipment and facilities [10 CFR 110, Appendix N]
- Remote manipulators [15 CFR 774, Supp. 1, ECCN 2B225]
- Gloveboxes [15 CFR 774, Supp. 1, ECCN 0B999]
- Tritium target assemblies [15 CFR 774, Supp. 1, ECCN 1A231]

Relevant hyperlinks: Appendix N To Part 110—Illustrative List Of Lithium Isotope Separation Facilities, Plants And Equipment Under NRC's Export Licensing Authority | NRC.gov Export Administration Regulations (EAR) (doc.gov)



Authorized Destinations

NNSA Regulations

- Argentina •
- Australia •
- Austria •
- Belgium •
- Brazil .
- Bulgaria .
- Canada •
- Chile •
- Colombia •
- Croatia .
- Cyprus .
- Czech Republic* •
- Denmark •
- Egypt •
- Estonia ٠
- Finland •
- France •
- Germany •
- Greece

Savannah River NUCLEAR SOLUTIONS*

- Hungary
 - Indonesia
 - IAEA •
 - Ireland
 - Italy
 - Japan
 - Kazakhstan •
 - Korea. Republic of
 - Latvia •
 - Lithuania
 - Luxembourg •
 - - Mexico

 - Netherlands
 - Norway
 - Poland •
 - Portugal ٠
 - Romania .
 - Slovakia
 - Slovenia

- South Africa ٠
- Spain ٠
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- ٠
- ٠
- ٠
- Emirates
 - United Kingdom
- Vietnam

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- Malta
- Morocco

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- - Turkey
 - Ukraine
 - United Arab

- Sweden
- Switzerland
- Taiwan

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Australia • Austria .

Argentina

NRC Regulations

Hungary

Iceland

Ireland

Italy

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Japan

Latvia

Malta

Mexico

Norway

Poland

Portugal

Romania

Russia

Serbia

Slovenia

Lithuania

Luxembourg

Netherlands

New Zealand

Republic of Korea

Slovak Republic

Kazakhstan

South Africa

Spain

Sweden

Turkey

Ukraine

Kingdom

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United

Switzerland

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- Belarus ٠
- Belgium .
- Brazil .
- Bulgaria • Canada

Croatia

Cyprus

Denmark

Estonia

Finland

France

Greece

Germany

Czech Republic

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China

Authorized Destinations – Department of Commerce

- The Department of Commerce does not have authorized destinations
- Instead, the DOC has produced an extensive chart called the Country Chart
- Depending on the commodity or technology, a country may or may not require a license for export of that commodity or technology
- For example, there is no license requirement to ship hydrogen isotope separation equipment to Canada, but there would be to Indonesia
- Other factors include dollar value of the shipment, quantities of materials in the shipment, and availability of license exceptions



Restricted Destinations

NNSA Regulations

Any country not an authorized destination

NRC Regulations

Restricted Destinations

- Afghanistan
- Andorra
- Angola
- Burma (Myanmar)
- Djibouti
- lndia
- Israel
- Libya
- Pakistan
- South Sudan

Relevant hyperlinks: <u>15 CFR §738 Commerce Control List Overview And The</u> <u>Country Chart - Code of Federal Regulations (ecfr.io)</u> <u>10 CFR §110 Export And Import Of Nuclear Equipment And Material - Code of</u> <u>Federal Regulations (ecfr.io)</u> <u>10 CFR §810 Assistance To Foreign Atomic Energy Activities - Code of Federal</u> <u>Regulations (ecfr.io)</u>



Embargoed Destinations

- Cuba
- Iran
- Iraq
- North Korea
- Syria
- Sudan

Restricted Destinations

Department of Commerce Regulations Department of Treasury Sanctions

- Cuba
- Iran
- North Korea
- Syria
- Sudan

- Balkans Related
- Belarus
- Burundi
- Central African Republic
- Chinese Military
 Companies
- Counter-Narcotics
- Counter-Terrorism
- Cuba
- Congo
- Global Magnitsky
- Hong Kong
- Iran
- Iraq
- Lebanon
- Libya
- Mali
- Nicaragua

- Non Proliferation
- North Korea
- Rough Diamond Trade
- Syria
- Transnational Criminal
 Organizations
- Ukraine / Russia Related
- Venezuela
- Yemen
- Zimbabwe



Key Takeaways and Additional Information

- Know who you are dealing with, even if it is just a technical discussion with foreign colleagues
- If something doesn't quite appear right, challenge it
- Seek help navigating export control law, it changes frequently

Additional Links: Commerce: <u>BIS Website (doc.gov)</u> NRC: <u>Part Index | NRC.gov</u> NNSA: <u>10 CFR Part 810 | Department of Energy</u> Treasury: <u>Sanctions Programs and Country Information | U.S. Department of</u> <u>the Treasury</u>

