Floating Offshore Vertical-Axis Wind Turbine System Design Studies and Opportunities

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The Department of Energy estimates that 86 GW of offshore wind turbines could be installed by the year 2050.

The first offshore U.S. wind plant was installed in 2016, and recent state legislation in the northeast mandates for 8 GW of offshore wind by 2030.

For the U.S. industry to reach significant levels of offshore wind energy will require installation in deep-water sites using floating systems.

- Floating systems may actually be more cost-effective in water depths greater than those supported by monopile platforms (> 30 m).

First floating offshore wind plant in the world installed off the coast of Scotland in 2017.

The growing offshore wind energy industry in the U.S. will require significant technological advances to reach a competitive levelized cost of energy (LCOE) with alternative energy sources.
Floating offshore wind plants have more components than land-based machines.

There are strong relationships between design variables which affect the cost of other components.

Turbine costs represent 65% of wind plant costs for land-based sites compared to around 20% for floating offshore sites.

Platform costs now represent the largest single contributor to LCOE.

Vertical-axis wind turbines were studied as a potential solution for floating offshore wind energy which have several benefits, including:

- Lower center of gravity, which reduces platform costs
- Improved efficiency over HAWTs at multi-MW scales
- Reduced O&M costs through removal of active components and platform-level placement of drivetrain.
• Energy generation sources have traditionally been selected based on an LCOE comparison with alternative sources

• Annual expenses include capital costs and operational expenses, which become significant for offshore systems
  • The relatively low cost of the turbine suggests that a more expensive turbine system than would be considered for land-based applications might be optimal for a system LCOE by reductions in the platform costs

• Energy production divides the entire cost formula, however a larger rotor also results in a larger drivetrain and platform which increases the system capital expenditures
  • The sensitivities of the sub-component relationships with cost must be understood to produce the optimal system
Wind Plant
Levelized Cost of Energy

Traditional Offshore Wind System Design Process

**Turbine**
- Rotor Aero.
- Rotor Structure
- Drivetrain
- Tower
- Controller
- Single turbine AEP

**Platform & Mooring**
- Additional controls added to meet platform design requirements

**Balance of System**
- Plant layout
- Installation
- Electrical Infrastructure

**Operations & Maintenance**
- Component reliability and downtime

**Wind Plant Annual Energy Production**

**Wind Plant Levelized Cost of Energy**
• The optimal VAWT rotor architecture was unknown at the beginning of the project

• Darrieus and V-VAWT architectures with exponents ranging from ‘V’ to ‘U’-shaped rotors were studied with variable blade number and rotor solidity to compare designs

• The rotor with the greatest potential to reduce turbine-platform LCOE was determined to be the Darrieus design due to its lowest mass and cost, where loads are carried mostly axially as opposed to being carried through bending moment
Floating platform design and analysis was performed to determine the optimal floating platform architecture for LCOE and performance.

6 platforms covering the range of floating system stability mechanisms were studied and compared.

A tension-leg platform with multiple columns was the lowest cost option per Stress Engineering Services.

Performance benefits from the small roll/pitch motions include increased energy capture and reduced inertial loading on the turbine.
The final platform design was determined through coupled aero-hydro-elastic simulations of the VAWT-TLP system performed at Sandia.

The platform would be redesigned by Stress Engineering Services (SES) in response to the dynamic loads.

Cost estimates were provided by SES using industrial cost data.
Dynamic Controls Optimization of the Coupled Models

**Multibody dynamic model** (rotor-platform interaction)
\[ \dot{x}_1 = f_1(x_1, x_2, x_3, u) \]

**Hydrodynamic model** (water-body interaction)
\[ \dot{x}_2 = f_2(x_2, x_1) \]

**Aerodynamic model** (air-rotor interaction)
\[ \dot{x}_3 = f_3(x_3, x_1) \]

**Coupled dynamic model**
\[
\begin{bmatrix}
\dot{x}_1 \\
\dot{x}_2 \\
\dot{x}_3
\end{bmatrix}
= \begin{bmatrix}
f_1(x_1, x_2, x_3, u) \\
f_2(x_2, x_1) \\
f_3(x_3, x_1)
\end{bmatrix}
\]

**Objective:**
Optimize the control input \( u \) to maximize power

**Constraints:**
S.T. limitations in torque and RPM
Dynamic Controls Optimization of the Coupled Models

• The dynamic controls optimization routines were used to exploit design margin in the platform at low wind speeds

• Rotor torque and rotational speed were allowed to vary, subject to the maximum resultant roll/pitch overturning moment of the platform

• The objective function results in a 16.1% increase in annual energy production over the typical constant rotational speed control strategy at a given wind speed for the VAWT
Dynamic Controls Optimization of the Coupled Models

- The maximum energy production objective function optimized towards a bang-bang, or hysteresis, controller
- This results in larger torque variations, which would effect generator cost and mass
  - This operation could result in a very different electrical conversion mechanism than electrical generators
- As an alternative use case, the controls objective could be used to reduce the variation in loads which may have a larger system reduction on LCOE
• Cost components were each estimated using the most trusted analysis and references available.

• LCOE near-term value is most representative of current estimates, and is much higher than for land-based wind energy.

• Technology advances to the platform, rotor structural design, and reductions in operations and maintenance reduce the LCOE to as low at $135/MWh.

• The preferred design methodology considers all of the system design tradeoffs that affect the final performance and cost, where design decisions are all made in parallel and influence the design of other components.
The components of a floating offshore wind system do not operate independently, and they should not be designed independently.

Some example relationships between the component designs include:

<table>
<thead>
<tr>
<th>System component</th>
<th>Design Decision</th>
<th>System Implications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wind turbine rotor</td>
<td>Decrease rotor mass</td>
<td>▪ Increases rotor cost (using carbon fiber)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>▪ Reduces platform costs with lower turbine-drivetrain center of gravity and mass moments of inertia</td>
</tr>
<tr>
<td>Drivetrain</td>
<td>Use a high efficiency generator</td>
<td>▪ Increase AEP, which divides entire annual expenses in LCOE calculation</td>
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<tr>
<td></td>
<td></td>
<td>▪ Increase cost and mass of drivetrain</td>
</tr>
<tr>
<td></td>
<td></td>
<td>▪ Likely results in platform cost increase</td>
</tr>
<tr>
<td>Floating platform</td>
<td>Platform architecture selection</td>
<td>▪ Design architecture selected will result in larger or smaller motions</td>
</tr>
<tr>
<td></td>
<td></td>
<td>▪ Platform motions can result in significant inertial loads added to the turbine tower and blades</td>
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<tr>
<td></td>
<td></td>
<td>▪ If the platform is unstable in high winds it will require additional control, reducing reliability and AEP</td>
</tr>
<tr>
<td>Turbine controls</td>
<td>Optimize for power</td>
<td>▪ Increases AEP, divides full annual expenses</td>
</tr>
<tr>
<td></td>
<td></td>
<td>▪ Increases variation in loads, could result in mooring or drive bearing fatigue concerns</td>
</tr>
<tr>
<td>Turbine reliability</td>
<td>Over-design system to account for probabilistic failures of components</td>
<td>▪ Increases turbine and drivetrain costs</td>
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<td>▪ Results in a more reliable turbine, which reduces operations and maintenance costs and downtime</td>
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</tbody>
</table>
Turbine Structure
\[ \dot{x}_2 = f_2(x_2, \ldots, x_i, \ldots, u_2, p_2) \]
\[ c_2 = g_2(p_2) \]

Drivetrain
\[ \dot{x}_3 = f_3(x_3, \ldots, x_i, \ldots, u_5, p_3) \]
\[ c_3 = g_3(p_3) \]

Wind plant LCOE optimization

Operation & Maintenance
\[ \dot{x}_5 = f_5(x_5, \ldots, x_i, \ldots, u_5, p_5) \]
\[ c_5 = g_5(p_5) \]

Annual Energy Production
\[ f_{AEP}(x_1, \ldots, x_m \ldots) \]

Turbine Aerodynamics
\[ x_1 = f_1(x_1, \ldots, x_i, \ldots, u_1, p_1) \]
\[ c_1 = g_1(p_1) \]

Platform & Mooring
\[ \dot{x}_4 = f_4(x_4, \ldots, x_i, \ldots, u_4, p_4) \]
\[ c_4 = g_4(p_4) \]

Optimal design \((p_1, \ldots, p_n)^* : \arg \min LCOE (p_1, \ldots, p_n)\)

\[ \dot{x} = F(x_1, \ldots, x_m, u_1, \ldots, u_k, p_1, \ldots, p_n) \]
\[ C = g(p_1, \ldots, p_n) \]

\( f_i(\ldots) \): dynamic model of \(i\)-th subsystem
\( g_i(p_i) \): cost model of \(i\)-th subsystem, as function of the set of parameters \(p_i\)