

QUESTIONS AND ANSWERS

PLEASE REFER TO THE GENERAL FAQs SECTION OF ARPA-E'S WEBSITE (<http://arpa-e.energy.gov/?q=faq/general-questions>) FOR ANSWERS TO MANY GENERAL QUESTIONS ABOUT ARPA-E AND ARPA-E'S FUNDING OPPORTUNITY ANNOUNCEMENTS. ADDITIONAL QUESTIONS SPECIFIC TO THIS FOA ONLY ARE INCLUDED BELOW. PLEASE REVIEW ALL EXISTING GENERAL FAQs AND FOA-SPECIFIC QUESTIONS BEFORE SUBMITTING NEW QUESTIONS TO ARPA-E.

I. Full Application Phase Questions:

Q1. Are you accepting whitepapers for this program solicitation or just full proposals?

ANSWER: Refer to DE-FOA-0001954, Appendix T, Section 4, on the ARPA-E Funding Opportunity Exchange website (<http://ARPA-E-FOA.energy.gov>) for guidance on the Topic T – SEED submission requirements.

Q2. Can you give further guidance on what constitutes a small business - how big is "small"? We work with a number of startups and also larger external partners and there are a handful of potential topics from the recommended list to potentially collaborate on. Knowing what would be welcomed will help us narrow scope.

ANSWER: The term "Small Business Concern" is defined in FOA Section IX as:

A for-profit entity that: (1) maintains a place of business located in the United States; (2) operates primarily within the United States or makes a significant contribution to the United States economy through payment of taxes or use of American products, materials or labor; (3) is an individual proprietorship, partnership, corporation, limited liability company, joint venture, association, trust, or cooperative; and (4) meets the size eligibility requirements set forth in 13 C.F.R. § 121.702. Where the entity is formed as a joint venture, there can be no more than 49% participation by foreign business entities in the joint venture.

Q3. Has there been an extension to the deadline to file for Supporting Entrepreneurial Energy Discoveries (SEED) ? the foa I am seeing has a deadline of 10/9/2019 for full proposals.

ANSWER: As set forth in *Table 1: Targeted Topics* and the introductory table in Appendix T, the date and time for submission of application materials to Topic T is January 14, 2021 at 9:30 am Eastern Time.

Q4. If this topic is still available, could you please help me understand if a scrap tire recycling and repurposing company would be of interest to ARPA-E for this specific opportunity? To provide further insight, our company transforms tires, which may otherwise end up rotting in landfills, to their original composites of oil and carbon black. The oil and carbon black is then repurposable in a multitude of applications.

ANSWER: ARPA-E will not pre-assess a prospective applicant's proposal. Applicants must review the FOA and independently assess whether their concept warrants a submission to ARPA-E.

QUESTIONS AND ANSWERS

Q5. Section 2 of Appendix T "Areas of Interest" says "Applications are sought that address one or more of ARPA-E's Mission Areas (see Section I.A.)."

Section I.A says:

The Advanced Research Projects Agency – Energy (ARPA-E), an organization within the Department of Energy (DOE), is chartered by Congress in the America COMPETES Act of 2007 (P.L. 110-69), as amended by the America COMPETES Reauthorization Act of 2010 (P.L. 111-358) to:

- (A) to enhance the economic and energy security of the United States through the development of energy technologies that result in—
 - (i) reductions of imports of energy from foreign sources;*
 - (ii) reductions of energy-related emissions, including greenhouse gases; and*
 - (iii) improvement in the energy efficiency of all economic sectors; and**
- (B) to ensure that the United States maintains a technological lead in developing and deploying advanced energy technologies.*

Are these points (A,i-iii, and B) the "Mission Areas" referred to in Section 2 of Appendix T?

ANSWER: Reference is to Section I.A in its entirety.

Q6. "Internal Pipeline repair robot for oil/gas pipelines" can be a SEED topic?

ANSWER: As set forth in Appendix T, Section 2, [a]pplications are sought that address one or more of ARPA-E's Mission Areas.

Q7.1 The FOA identifies approximately \$10,000,00[0] in awards subject to availability of appropriated funds.

Q7.1a [H]ow much has been awarded to date?

ANSWER: \$0 as of [insert FAQ publication date]

Q7.1b [H]ow much if any of that \$10M has been appropriated and not yet awarded?

ANSWER: No monies have been awarded under this Targeted Topic to date (insert FAQ publication date).

Q7.2 The FOA states [at DE-FOA-001954, Appendix T, in the introductory table]:

QUESTIONS AND ANSWERS

ARPA-E may issue one, multiple, or no awards under this FOA. Awards may vary between \$200,000 and \$500,000. Awards are issued as Phase I or Phase I/II grants, with a go/no-go milestone after Phase I, as applicable.

And goes on to say there is no limit to the number of proposal that may be submitted or the number of awards to one organization.

It also says [FOA Section II.A]: *Combined Phase I/II/III awards may be funded up to \$3,677,642. ... [C]an we put multiple award requests in a single proposal?*

ANSWER: No. The complete text of the row titled *Anticipated Awards* in the introductory table of DE-FOA-0001954 reads:

ARPA-E may issue one, multiple, or no awards under this FOA. Awards may vary between \$100,000 and \$3.67 million. See Targeted Topics Table for topic-specific award amount requirements. [emphasis added]

Consistent with this text, awards under Targeted Topic T may vary between \$200,000 and \$500,000, as set forth in the topic's introductory table.

Q8. We have a couple of clarifications and questions:

Q8.1 Topic T poses "special interests". While our tech can tangentially fit some categories, we think our tech-to-market strategy is not explicitly defined by a single category. I want to clarify that we can still meet the application merit criteria for Topic T while broadly addressing the mission statements of ARPA-E, as opposed to a specific topic area.

ANSWER: ARPA-E will not pre-assess a prospective applicant's proposal. Applicants must review the FOA and independently assess whether their concept warrants a submission to ARPA-E.

Q8.2 How does ARPA-E handle the fact that we are simultaneously applying for other funding opportunities? Specifically, in the meantime, if we receive seed funding from a VC to perform similar work proposed in the Topic T application, are we still eligible?

ANSWER: Applicants are required to disclose all current and pending sources of financial support that relates directly or indirectly to their proposed project by completing the pertinent section of the Business Assurances & Disclosures Form. Likelihood of other funding sources is considered by ARPA-E when reviewing applications (refer to FOA Section V.B.2, Program Policy Factor IV). In no instance will ARPA-E provide financial assistance for projects already funded from other sources.

Q8.3a Topic T supports phase I or Phase I/II with go-nogo milestone funding for phase II. Are we initially applying for the phase I, then define the go-nogo/ phase II aspects

QUESTIONS AND ANSWERS

during award negotiations, or do we propose the phase I/II go-nogo milestones in our initial application?

ANSWER: Refer to the template titled *Topic T: Technical Volume (Fixed-Amount Grant)*, Section 2.2. Applicants must apply for a Phase I/II award.

Q8.3b In that case, is the \$500k maximum award to cover both phase I and phase II?

ANSWER: Yes.

Q8.4 The FOA document discusses the responsibilities of "subrecipients" in the application. Occasionally "contractor" is used alongside "subrecipient" in the text. Specifically, the SF-424 component suggests that subrecipients need to provide budget justification around the work they will do. Does this apply to third parties that are not invested in the commercialization of the tech, but simply running tests for us?

ANSWER: The terms *subrecipient* and *contractor* are defined at 2 C.F.R. § 200.93 and 2 C.F.R. § 200.23 respectively. Federal regulation provides criteria for determining whether an entity is performing under a Federal financial assistance agreement as a subrecipient or a contractor. These may be found at 2 C.F.R. § 200.331.

Q8.5 We plan to run contract work with a unique US testing facility to qualify the material under operating conditions. This may cost >10% of the total budget. Do we need to acquire documentation from this entity as if they are a subrecipient / contractor, or can it be treated similar to purchasing a piece of testing equipment?

ANSWER: Whether an entity is performing as a subrecipient or contractor requires a fact-based determination using the criteria found at 2 C.F.R. § 200.331. Whether performing as either a subrecipient or a contractor, the applicant is responsible for demonstrating proposed costs and prices are fair and reasonable. If determined to be performing as a subrecipient with a budget exceeding 10% of total project cost, supporting cost data must be submitted separately using the file titled *SF-424/Budget Justification Worksheet SBIR-STTR*.

Q8.6 We are in discussion with a potential raw materials company and system manufacturer that have expressed willingness to provide some materials and carry out an internal feasibility study, respectively. These are not formally guaranteed, or explicitly dependent on us winning ARPA-E funding. Should these tentative engagements be considered "in-kind", and thereby necessitate additional sheets in the SF-424A to formalize potential contributions?

ANSWER: As set forth at FOA Appendix T, Section 3: ... *cost share is not required nor will be accepted for awards resulting from this Targeted Topic.*

QUESTIONS AND ANSWERS

Q8.7 Fringe benefits and indirect costs: The standard SF-424A guidelines suggested these factors are sometimes negotiated with the CO prior to submission. Topic T has a simplified SF-424A (single tab w/out these descriptions), so are there standardized factors that ARPA-E suggests we use in this case (e.g., fringe benefits = 30% employee salary etc.), or is it up to us to come up and justify the factors we use?

ANSWER: Applicants that have concluded Negotiated Indirect Cost Rate Agreements (NICRA) with the Federal Government may seek reimbursement of fringe benefits and indirect costs as set forth in those agreements. Applicants without negotiated agreements are required to submit an indirect cost rate proposal to ARPA-E if selected for award negotiations. Submission materials may be found at <https://arpa-e.energy.gov/technologies/project-guidance/pre-award-guidance/required-forms-and-templates>. Indirect costs and fringe benefits will be reimbursed at rates mutually agreed during award negotiations.

Q9. I have from page 11 of the subject FOA a brief outline for Technical Volume:

- Each Applicant must submit a Technical Volume in Adobe PDF format by the stated deadline. Applicants may use the Technical Volume template available on ARPA-E eXCHANGE (<https://arpa-e-foa.energy.gov>). The Technical Volume must include the following:
 - Executive Summary (1 page max.)
 - Sections 1-5 (14 pages max.)
 - 1. Innovation and Impact
 - 2. Proposed Work
 - 3. Technology to Market
 - 4. Team Organization and Capabilities
 - 5. Budget
 - Bibliographic References (no page limit)
 - Personal Qualification Summaries (each PQS limited to 3 pages in length, no cumulative page limit)

Is there some more expansive Technical Volume template than this at <https://arpa-e-foa.energy.gov>, especially for Sections 1-5? Can you give me the complete url?

ANSWER: The Technical Volume Template for this Targeted Topic (i.e., the file titled *Topic T: Technical Volume (Fixed-Amount Grant)*) may be found at <https://arpa-e-foa.energy.gov/FileContent.aspx?FileID=ce515acb-810d-4de3-a01b-d16aa43a8e3e>.

QUESTIONS AND ANSWERS

Q10. We are considering submitting a proposal under the above referenced FOA. We relatively recently formed our company (8/2019) and have never submitted a Federal proposal before. We have several questions:

Q10.1 For form SF-424A is each "Budget Period" 1 year (i.e., the first 12 months from award, second 12 months from award)? (Since the program is limited to 24 months presumably Budget period 3 would be \$0.)

ANSWER: The term *budget period* is among the terms defined at 2 C.F.R. § 200.1.

Q10.2a Form SF-424A does not provide any guidance on the "Object Class Categories". Can you provide guidance on what constitutes the various charges. For example, should "Personnel" include both amounts paid to personnel and the associated payroll tax, or should the payroll tax be listed under another category?

ANSWER: Refer to the file titled *Budget Justification/SF-424A Workbook Guidance* found at <https://arpa-e-foa.energy.gov/FileContent.aspx?FileID=bcf8b328-6789-4823-a5b9-4999f0d8af1b>.

Q10.2b Is there an allowance for "indirect" costs even if there is no appreciable expense history? ...

ANSWER: ARPA-E's instructions for submitting an indirect cost rate proposal can be found at <https://arpa-e.energy.gov/technologies/project-guidance/pre-award-guidance/required-forms-and-templates>. As stated therein: *If the business is new and no costs/expenses have been incurred, then use a reasonable estimate of costs or budgeted amounts for the accounts in the general ledger* (refer to Section V, Instruction 1). Applicants may expect that ARPA-E will closely examine the basis for any estimates used in preparing an indirect cost rate proposal.

Q10.3a Is there any guidance as to the timing of the go/no-go decision (e.g., 1/3 way through the period of performance)?

ANSWER: As set forth in the introductory table in Appendix T, the go/no-go milestone is timed for the end of Phase I.

Q10.3b Is there any guidance as to the relative budgets of the Phase I and Phase II efforts?

ANSWER: As stated in FOA Section II.A, the maximum amount of any Phase I award is \$256,580. As set forth in Appendix T, the maximum amount of any award resulting from this Targeted Topic (i.e., Phase I/II) is \$500,000.

Q10.3c Need the Phase I and Phase II budgets be separated in any way?

ANSWER: The file titled *Budget Justification/SF424A Workbook SBIR-STTR*, found at <https://arpa-e-foa.energy.gov/FileContent.aspx?FileID=ce515acb-810d-4de3-a01b-d16aa43a8e3e>, segregates the budget submission into Phase I and Phase II periods. Given the Target Topic limitation, there is no Phase IIS.

QUESTIONS AND ANSWERS

CORRECTION: Applicants may disregard the answer above. Refer to FAQ 21.2a-b for the correct response.

Q11. I hope this email finds you well. ... I am emailing you to inquire about our eligibility for funding to apply for the DE-FOA-0001954 targeted topic "Supporting Entrepreneurial Energy Discoveries (SEED)".

ANSWER: Refer to FOA Section III.A-B.

Q12. I have noticed in the opportunity DE-FOA-0001954, [Appendix T is titled] "Supporting Entrepreneur[ial] Energy Discoveries". My question is: would this opportunity be interested in a Renewable energy mobile unit to replenish or maintain electric equipment or vehicles, especially in rural and remote areas?

ANSWER: ARPA-E will not pre-assess a prospective applicant's proposal. Applicants must review the FOA and independently assess whether their concept warrants a submission to ARPA-E.

Q13. My company is putting together an application in response to the FOA for Program Areas in SBIR/STTR, specifically Topic T for the SEED program I'd like to confirm that I'm interpreting the FOA correctly about which documents are required. As I read it, the Topic T Appendix lists the set of documents for a full application, which supersedes the overall FOA application set.

So, we would need to submit:

- **Topic T: Technical Volume (Fixed- Amount Grant)**
- **SF-424**
- **Topic T: SF- 424A (Fixed- Amount Grant) - NOT the full Budget Justification Workbook**
- **SBA Company Registration Certificate**
- **Business Assurances & Disclosures Form**
- **U.S. Manufacturing Plan ...**

Is this correct?

ANSWER: The content and form of applications submitted in response to Targeted Topic T is set forth in the table found at FOA Appendix T, Section 4, and includes (if applicable) the *Certification for Applicants Majority-Owned by Multiple Venture Capital Operating Companies, Hedge Funds, or Private Equity Firms*. The application materials do not include the file titled *Budget Justification/SF424A Workbook SBIR-STTR* as incorrectly stated at Q10.3c.

QUESTIONS AND ANSWERS

Q14. We are planning to apply for the SEED Topic under FOA DE-FOA-0001954 The FOA under section IIA suggests that award amount is '..Phase I award is \$256,580 and the maximum amount for a Phase I/II award is \$1,967,111.' However, the SEED topic section 3 suggests max award of \$500,000. Can you pls clarify:

Q14.1 Are applications required to address a phase I and II simultaneously or only phase I initially?

ANSWER: As noted in the introductory table to Appendix T, awards may be issued as Phase I or Phase I/II grants. Applications should address the entire period of performance being proposed. As stated in Section II.A of the FOA, the maximum amount of any Phase I award is \$256,580.

Q14.2 What is the actual award expectation for Phase I and combined Phase I/II for the SEED topic?

ANSWER: As noted in the introductory table to Appendix T, awards may vary between \$200,000 and \$500,000.

Q15. The Funding Opportunity Exchange website for submission of proposals under the above referenced topic seems to indicate with a red asterisk that a "Summary for Public Release" and "Summary Slide" are required for submission. However, these are not listed as required in the solicitation. Can you please confirm these asterisks are an error and these documents are not required. Will the website accept submission if no file is uploaded for these documents?

ANSWER: The content and form of applications submitted in response to Targeted Topic T is set forth in the table found at FOA Appendix T, Section 4.

Q16. ... I am emailing in reference to the Special Program Announcement for Solicitation on Topics Informing New Program Areas (DE-FOA-0001954) "Supporting Entrepreneurial Energy Discoveries (SEED)". We are interested in applying to the SEED program but are unsure if our organization's goals align with the ARPA-E Mission Areas. ...

ANSWER: ARPA-E will not pre-assess a prospective applicant's proposal. Applicants must review the FOA and independently assess whether their concept warrants a submission to ARPA-E.

Q17. We have registered in the arpa-e-foa.energy.gov and SBIR.gov. Is it required to register in the SAM.gov prior to the submission, or can this SAM.gov registration be completed after we are notified to receive an award for Phase I?

ANSWER: As set forth at FOA Section VI.B.1:

ARPA-E may not execute a funding agreement with the Prime Recipient until it has obtained a DUNS number and completed its SAM and FSRS registrations. In addition, the Prime Recipient

QUESTIONS AND ANSWERS

may not execute subawards with Subrecipients until they obtain a DUNS number and complete their SAM registration. Prime Recipients and Subrecipients are required to keep their SAM and FSRS data current throughout the duration of the project.

Q18. We had a quick question regarding seed funding in the FOA. It isn't clear whether the award would be limited to \$500k in total, or per year.

ANSWER: Refer to Targeted Topic T FAQ 14.2.

Q19. Under the information for Topic T, it mentioned that ARPA-E anticipates awarding fixed-amount grants from this Targeted Topic and a separate SF424A is provided for it. Does this mean ONLY fixed-amount grants will be awarded under this topic, or that the option just exists to go that route?

ANSWER: ARPA-E anticipates awarding fixed-amount grants only from this Targeted Topic.

Q20. In regard to the Award Overview referenced in the FOA it states, "the maximum award amount for a Phase I is \$256,580." On page 199 of the FOA, APPENDIX T: SUPPORTING ENTREPRENEURIAL ENERGY DISCOVERIES (SEED), it states, "Awards may vary between \$200,000 and \$500,000. Awards are issued as Phase I or Phase I/II grants, with a go/no-go milestone after Phase I, as applicable." Please provide clarification if Topic T Phase I can be a maximum of \$500,000 funding and not limited to \$256,580 based on the Small Business Administration SBIR guidelines.

ANSWER: The maximum amount of a Phase I grant is \$256,580. Phase I/II grants are limited to a maximum of \$500,000 under this Targeted Topic.

Q21. I have a few questions in reference to the above referenced FOA.

Q21.1 Questions regarding Form SF-424:

Q21.1a [Item] 3. With regard to "Date Received", what is this with reference to (i.e., date what was received and by whom)?

ANSWER: Applicants may insert the date the application is submitted to ARPA-E.

Q21.1b Does "State Use Only" apply only to items 6 and 7 (which we thus presumably leave blank)?

ANSWER: Applicants may leave these items blank. Coordination with state or local government agencies is not required for this program.

Q21.1c [Item] 5a. Where can I find my Federal Entity Identifier?

ANSWER: Item 5a is not a mandatory item for completion and may be left blank.

QUESTIONS AND ANSWERS

Q21.1d Our organization does not have any "Department" or "Division" names. Should [Item 8e] be left blank?

ANSWER: Item 8e is not a mandatory item for completion and may be left blank.

Q21.1e For [Item] 13, what is the Competition Identification Number and how do we determine it and its title?

ANSWER: ARPA-E has not established a separate Competition Identification Number. Item 13 may be left blank.

Q21.1f For [Item] 14, what constitutes an "area affected by the project"? Is it the locations where the work will take place or is it the area that could be impacted by the project if the project is successful?

ANSWER: Applicants may, among other things, identify and include in Item 14 the entities, their addresses, and corresponding census tract numbers for any project activities that will occur within any designated Qualified Opportunity Zone (QOZ). To locate Qualified Opportunity Zones go to: <https://www.cdfifund.gov/Pages/Opportunity-Zones.aspx>.

Q21.1g [Item] 16b. What are the criteria for determining the congressional district of the project? Is it the location(s) where the project work is being conducted?

ANSWER: Applicants can insert places of performance for prime and sub-recipients in this block.

Q21.1h [Item] 17a requires a specific "Proposed Project Start Date" however, the solicitation only specifies "Approximately July 2021". What date should be listed?

ANSWER: Applicants may insert any business date in July 2021 as the proposed project start date. The actual start date will depend upon the progress achieved, if selected, during award negotiations.

Q21.1i [Item] 17b requires the end date. Should this be the end date of the Phase I or the Phase II (assuming a known start date based on the answer to 17a)

ANSWER: The end date should reflect the end of the proposed grant period of performance, whether seeking a Phase I or a Phase I/II award.

Q21.2 These questions up the FAQ issued on 12/23 on the above FOA,

Q21.2a Following up Question Q10.1: The Budget Information form "Topic T: SF-424A (Fixed Amount Grant)" requires the "Budget Period 1" dollar amount (Section A) to match the "Forecasted Cash Needs" of the first year (Section D). Per your answer to Question Q10.1, "Budget Period" is defined in 2 CFR 200.1 which states the "time interval from the start date of a funded portion of an award to the end date of that funded portion". As this program is being funded with a go/no-go after Phase I, this implies "Budget Period 1" is the Phase I effort. However, the Phase I may have a period of performance less than 1 year. For example, a two quarter Phase I would expend the "Budget Period 1" budget in Q1 and Q2 of the first year, with the cash needs of Q3 and

QUESTIONS AND ANSWERS

Q4 of the first year coming from the Phase II (Budget Period 2). This will result in the SF-424A(Fixed Amount Grant) form indicating a mismatch between Budget Period 1 and the Forecasted Cash Needs. Is this intended as an implicit requirement that the Phase I be exactly 12 months? If not, how should we resolve this issue?

ANSWER: Applicants may segregate budgets by equating Budget Period 1 with SBIR/STTR Phase I, and Budget Period 2 with SBIR/STTR Phase II.

Q21.2b Following up Question Q10.3c: The SF-424A linked to to answer this question is not the SF-424A form to be used for responding to solicitation topic T. The solicitation specifically requires (on PDF page 202) applicants to use the "Fixed Amount Grant" version of SF-424A. Can you please answer this question with reference to the proper form (i.e., SF-424A(Fixed Amount Grant))?

ANSWER: The file may be found at <https://arpa-e-foa.energy.gov/FileContent.aspx?FileID=eb79882a-61a4-40d6-845a-fb290b674840>.

Q22. I am reaching out concerning the Technical Areas of Interest component of the Fixed-Amount Grant Technical Volume template. Looking at document AR-211-09.16: pages 200-201 note some specific topics in which there is a special interest. The leading paragraph, however, states that "Applicants may propose technology development efforts with the potential for high impact in any of the ARPA-E Mission Areas." After reviewing the entirety of Section I.A., I have not seen any ARPA-E Mission Areas apart from a relatively broad program background. Would "Transformational Research," "Disruptive Technology," or "Applied Research and development" be considered technical areas of interest? ...

ANSWER: Read in its entirety Section I.A identifies APRA-E's interest in technologies that ...

(A) ... enhance the economic and energy security of the United States through the development of energy technologies that result in—

(i) reductions of imports of energy from foreign sources;

(ii) reductions of energy-related emissions, including greenhouse gases; and

(iii) improvement in the energy efficiency of all economic sectors; and

(B) ... ensure that the United States maintains a technological lead in developing and deploying advanced energy technologies.

... and are transformational, disruptive, and applied in their nature.

Q23. I ... [have] a few questions regarding the required materials:

Q23.1 Is a commercialization plan required as part of this topic?

ANSWER: No. The content and form of applications submitted in response to Targeted Topic T is set forth in the table found at FOA Appendix T, Section 4.

QUESTIONS AND ANSWERS

Q23.2 Are letters of support allowed to be submitted as extra material?

ANSWER: Refer to General FAQ 8.3, found at <https://arpa-e.energy.gov/faqs/general-questions>.

Q24. Technical Volume template for SEED proposal indicates suggested page limit for each sections. Thus, it is stated on page 1 that Executive Summary shall not exceed 1 page in length. It is not clear though if this 1 page shall also accommodate Cover Page. Or we can use a separate page for the Cover Page.

ANSWER: Use of a separate Cover Page is permitted.

Q25. I am emailing to ask if ARPA-E has issued additional guidance for this SEED program regarding eligibility. Specifically, I am a current SEED grantee and would like to know if that disqualifies me from obtaining a second SEED grant even if it is for a different idea and project. Can you let me know if an application would be allowed given the circumstances?

ANSWER: Eligibility criteria for Targeted Topic T can be found at FOA Section III.A-D. There is no limitation on the number of awards that may be performed at any one time.

Q26. Is it acceptable to resubmit a proposal, that was originally submitted under Topic P of the DOE DE-FOA-0001954 opportunity, to Topic T of the DOE DE-FOA-0001954 opportunity? We have already received the notification that it was not selected so it is not a currently pending application. ...

ANSWER: It is acceptable provided any resubmission is otherwise compliant and responsive to the FOA and Targeted Topic T.

Q27. I'm almost ready to turn in an application to the subject opportunity. I am engaging a subprime with >10% of cost. I could use some clarity on the SF-424A. I find:

- Per [Page/Section reference is not clear] Budget Justification Guidance, I need to create a Budget Justification Workbook for myself and my subprime.
- The Required Application Documents offer the Budget Justification/SF-424A workbook
- The Required Application Documents offer Topic T: SF-424A, which is a subset of Budget Justification/SF-424A workbook
- The application process Tab Update and Submit accepts only one file (an .xls) as budget information for SF-424A

So, what am I submit, and where?

ANSWER: The content and form of applications submitted in response to Targeted Topic T is set forth in the table found at FOA Appendix T, Section 4.

QUESTIONS AND ANSWERS

Q28. Regarding the above mentioned FOA I have a question on funding amounts for the topics under the "Supporting Entrepreneurial Discoveries (SEED)" category. The overall solicitation indicates the applications must be submitted for Phase I, II, and IIS. This specific topic area indicates that awards may vary between \$200,000 and \$500,000. Can you clarify how this range maps on to the Phase I, II, IIS requirement or if that requirement applies to this category? For example would the maximum Phase I amount be \$200,000 and the maximum Phase II amount be \$300,000 for a total maximum of \$500,000 or does this maximum amount apply to all three Phases?

ANSWER: Refer to Target Topic T FAQ 20 and FAQ 21.2a. Given Target Topic T limitations there is no Phase IIS.

Q29. We created an application online for topic T (SEED) of FOA 1954 ... but couldn't submit it on Jan 14, 2021. In the light of the extended deadline of this topic to Jan 26, 2021, can we submit that application via ARPA-E exchange site?

ANSWER: ARPA-E is accepting applications for this topic through **January 26, 2020 at 9:30 am ET.**