

QUESTIONS AND ANSWERS

PLEASE REFER TO THE GENERAL FAQs SECTION OF ARPA-E'S WEBSITE (<http://arpa-e.energy.gov/?q=faq/general-questions>) FOR ANSWERS TO MANY GENERAL QUESTIONS ABOUT ARPA-E AND ARPA-E'S FUNDING OPPORTUNITY ANNOUNCEMENTS. ADDITIONAL QUESTIONS SPECIFIC TO THIS FOA ONLY ARE INCLUDED BELOW. PLEASE REVIEW ALL EXISTING GENERAL FAQs AND FOA-SPECIFIC QUESTIONS BEFORE SUBMITTING NEW QUESTIONS TO ARPA-E.

I. Full Application Phase Questions:

Q1. Are you accepting whitepapers for this program solicitation or just full proposals?

ANSWER: Refer to DE-FOA-0001954, Appendix T, Section 4, on the ARPA-E Funding Opportunity Exchange website (<http://ARPA-E-FOA.energy.gov>) for guidance on the Topic T – SEED submission requirements.

Q2. Can you give further guidance on what constitutes a small business - how big is "small"? We work with a number of startups and also larger external partners and there are a handful of potential topics from the recommended list to potentially collaborate on. Knowing what would be welcomed will help us narrow scope.

ANSWER: The term "Small Business Concern" is defined in FOA Section IX as:

A for-profit entity that: (1) maintains a place of business located in the United States; (2) operates primarily within the United States or makes a significant contribution to the United States economy through payment of taxes or use of American products, materials or labor; (3) is an individual proprietorship, partnership, corporation, limited liability company, joint venture, association, trust, or cooperative; and (4) meets the size eligibility requirements set forth in 13 C.F.R. § 121.702. Where the entity is formed as a joint venture, there can be no more than 49% participation by foreign business entities in the joint venture.