



# U.S. Department of Energy Categorical Exclusion Determination Form

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Proposed Action Title: Palo Alto Research Center (PARC) - Collaborative Optimization and Planning for Transportation Energy Reduction (COPTER)

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): Palo Alto, CA and Blacksburg, VA

Proposed Action Description:

Funding will support development of a software model and architecture capable of identifying energy-efficient routes that are most likely to be adopted by a traveler. The system model will use currently available data from navigation tools, public transit, and intelligent transportation systems to simulate the Los Angeles transportation network and its energy use. The control architecture will incorporate behavioral modeling and use machine-learning algorithms to predict the near-time travel needs of users, their constraints, and how likely travelers are to respond to suggested travel options. If successful, development of a novel system model and architecture that can dynamically simulate an urban transportation network would demonstrate the level of energy efficiency gains that can be accomplished through network optimization approaches.

Research and development activities will be conducted in PARC's laboratory facility located in Palo Alto, CA and Virginia Tech Transportation Institutes's laboratory facility located in Blacksburg, VA. Any behavioral research/testing of a proof of concept system model and architecture performed by PARC will be subject first to the review and approval of an Institutional Review Board (IRB), and will comply with the requirements of: (1) DOE Order No. 443.1B (Protection of Human Research Subjects); (2) 10 C.F.R. Part 745; and (3) 45 C.F.R. Part 46.

Categorical Exclusion(s) Applied:

B3.6 - Small-scale research and development, laboratory operations, and pilot projects

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of 10 CFR Part 1021.

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer:

Date Determined: 11/18/2015